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01-14-04

#694 1744

PTO/SB/21 (08-00)

Approved for use through 10/31/2002

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TRANSMITTAL FORM		Application Number		09/963,954			
(to be used for all correspondence after initial filing)		Filing Date		September 26, 2001			
		First Named Inventor		Steven J. Caruso			
		Group Art Unit		1744			
		Examiner Name		Shay L. Balsis			
Total Number of Pages in This Submission		4		Attorney Docket Number		14304US02	
ENCLOSURES (check all that apply)							
<input checked="" type="checkbox"/> Fee Transmittal Form		<input type="checkbox"/> Assignment Papers (for an Application)		<input type="checkbox"/> After Allowance Communication to Group		<div>RECEIVED JAN 21 2004 TC 1700</div>	
<input checked="" type="checkbox"/> Fee Attached		<input type="checkbox"/> Drawing(s) (sheets)		<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences			
<input type="checkbox"/> Amendment/Reply		<input type="checkbox"/> Licensing-related Papers		<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
<input type="checkbox"/> After Final		<input type="checkbox"/> Petition		<input type="checkbox"/> Proprietary Information			
<input type="checkbox"/> Affidavits/declaration(s)		<input type="checkbox"/> Petition to Convert to a Provisional Application		<input type="checkbox"/> Status Letter			
<input checked="" type="checkbox"/> Extension of Time Request		<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address		<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):			
<input type="checkbox"/> Express Abandonment Request		<input type="checkbox"/> Terminal Disclaimer		Return Post-Card			
<input type="checkbox"/> Information Disclosure Statement		<input type="checkbox"/> Request for Refund					
<input type="checkbox"/> PTO 1449/08A with references		<input type="checkbox"/> CD Number of CD(s) _____					
<input type="checkbox"/> Certified Copy of Priority Document(s)							
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		Remarks					
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual Name		McAndrews Held & Malloy, Ltd.					
Name (Print/type)		George Wheeler		Registration No. (Attorney/Agent)		28,766	
Signature						Date: January 12, 2004	
EXPRESS MAIL DEPOSIT							
"Express Mail" mailing label number : EL849001065US							
Date of Deposit January 12, 2004.							



O/SB/17 (11-00)

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FEE TRANSMITTAL for FY 2004 Patent Fees are subject to annual revision.		Complete if Known	
		Application Number	09/963,954
		Filing Date	September 26, 2001
		First Named Inventor	Steven J. Caruso
		Examiner Name	Shay L. Balsis
		Group Art Unit	1744
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	14304US02

METHOD OF PAYMENT		FEE CALCULATION (continued)																																											
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 13-0017 Deposit Account Name: McAndrews Held & Malloy <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																																											
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																																													
FEE CALCULATION																																													
1. BASIC FILING FEE																																													
<table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001</td><td>770</td><td>2001</td><td>385</td><td>Utility filing Fee</td><td></td></tr><tr><td>1002</td><td>340</td><td>2002</td><td>170</td><td>Design filing Fee</td><td></td></tr><tr><td>1003</td><td>530</td><td>2003</td><td>265</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>770</td><td>2004</td><td>385</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5">SUBTOTAL (1) (\$)</td><td></td></tr></tbody></table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	1001	770	2001	385	Utility filing Fee		1002	340	2002	170	Design filing Fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1) (\$)							
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SUBTOTAL (2) (\$)																																													
*or number previously paid, if greater; For Reissues, see above		*Reduced by Basic Filing Fee Paid																																											
		SUBTOTAL (3) (\$55.00)																																											

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	George Wheeler	Registration No. (Attorney or Agent)	28,766
Signature		Telephone	312-775-8000
		Date	January 12, 2004

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)

14304US02



In re the Application of

Steven J. Caruso

Application Number

09/963,954

Filed

September 26, 2001

For

DEBRIS COLLECTING TECHNOLOGY

Group Art Unit

1744

Examiner

Shay L. Balsis

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1))
☐ Two months (37 CFR 1.17(a)(2))
☐ Three months (37 CFR 1.17(a)(3))
☐ Four months (37 CFR 1.17(a)(4))
☐ Five months (37 CFR 1.17(a)(5))

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 \$ 110.00
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 \$

- ☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the amount shown above is reduced by one-half, and the resulting fee is: \$ 55.00.
☒ A check in the amount of the fee is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 13-0017. I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record. Registration Number 28,766

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) _____

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January 12, 2004

Date

312-775-8000

Telephone Number

George Wheeler
 Signature

George Wheeler

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 3 forms are submitted.